

BAKER BOTTS LLP

WARNER
1299 PENNSYLVANIA AVE., NW
WASHINGTON, DC
20004-2400
202.639.7700
FAX 202.639.7890

AUSTIN
BAKU
DALLAS
HOUSTON
LONDON
MOSCOW
NEW YORK
RIYADH
WASHINGTON

January 24, 2002

UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket Number: 018842.1195
First Named Inventor: Kazuki Hosoya et al.
Title: Heat Exchangers and Methods For Manufacturing Such Heat Exchangers

TO: **BOX PATENT APPLICATION**
Commissioner for Patents
Washington, D.C. 20231

Sir:

Attached are the following for filing with the U.S. Patent and Trademark Office:

1. ☒ Fee Transmittal Form (original and duplicate)
2. ☒ Specification - Total Pages: 13 (Including Abstract)

CLAIMS AS FILED						
	Claims Filed	Basic Fee Claims	Extra	Rate		Amount
				Large Entity	Small Entity	
Total Claims	36	20	16	\$ 18.00	\$ 9.00	\$ 288.00
Independent Claims	3	3	0	\$ 84.00	\$ 42.00	\$ 0.00
First Presentation of Multiple Dependent Claims				\$ 280.00	\$ 140.00	\$ 0.00
BASIC FEE				\$ 740.00	\$ 370.00	\$ 740.00
TOTAL FILING FEE						\$1,028.00

3. ☒ Drawings - Total Sheets: 1 (Fig(s). 1)
4. Oath or Declaration - Total Pages: 2
 - a. ☒ Newly executed (original or copy)
☐ New (unexecuted)
 - b. ☐ Copy from a prior application
(for continuation/divisional with Box 17 completed)
 - i. ☐ DELETION OF INVENTOR(s):
Signed statement attached deleting inventor(s) named in prior application.
5. ☐ Incorporation By Reference (useable if Box 4b is marked)

The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

6. ☐ Microfiche Computer Program (Appendix)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Copy
- b. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statement verifying identity of above copies
8. ☐ Assignment and Assignment Recordation Form
9. ☐ 37 C.F.R. 3.73(b) Statement ☐ Power of Attorney
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement with PTO-1449 and References
- ☐ Copies of Information Disclosure Statement Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard
14. ☐ Small Entity Statement(s) ☐ Independent Inventor
- ☐ Small Business Concern
- ☐ Non-Profit Organization
- ☐ Statement Filed in Prior Application; Status Still Proper and Desired
15. ☒ Foreign Priority is Claimed as Follows:
Japanese Patent Application No. P2001-038539, filed on February 15, 2001
-
- ☐ If Foreign Priority is Claimed, Certified Copy of the Above Priority Document(s) is Submitted Herewith
16. ☒ Other: **Application Data Sheet**
17. ☐ Continuation ☐ Divisional ☐ Continuation-in-Part of
Prior Application No.: _____ filed _____
- ☐ Complete Application Based on Provisional Application No.: _____
filed _____

Commissioner for Patents

January 24, 2002

Page 3

18. ☒ A new power of attorney or authorization of agent (PTO/SB/81) is as follows:

☒ The power of attorney is to:



24735

PATENT TRADEMARK OFFICE

☐ Please remove as power of attorney:

☐ Please add as power of attorney:

19. Please address all correspondence to:



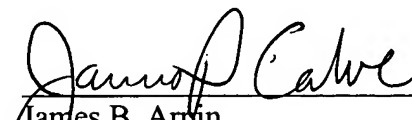
24735

20. ☒ A check in the amount of \$ 1028.00 is enclosed. In the event any variance exists between the amount enclosed and the Patent Office charges, please charge or credit any such variance to Deposit Account No. 02-0375.

☐ The Commissioner is hereby authorized to charge any variance between the amount enclosed and the Patent Office charges to **Deposit Account No. 02-0375**.

Respectfully submitted,

By:


James B. Arpin
Registration No. 33,470

JBA/JPC/sh

Enclosures

01/24/02

J698 U.S. PTO

4053692 04402

J1100 U.S. PTO

10/05/582

01/24/02

FEE TRANSMITTAL [BOX PATENT APPLICATION]		Complete If Known																																									
		Application No.		To be assigned																																							
		Filing Date		January 24, 2002																																							
		First Named Inventor		Kazuki Hosoya et al.																																							
		Examiner Name		To be assigned																																							
Group Art Unit		To be assigned																																									
Total Amount Of Payment (\$)		1028.00		Attorney Docket No. 018842.1195																																							
METHOD OF PAYMENT (check one)			FEE CALCULATION (continued)																																								
1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to Deposit Account No. 02-0375 in the name of Baker Botts L.L.P. <input type="checkbox"/> Charge any additional fee required under 37 C.F.R. §§ 1.16 and 1.17 to Deposit Account No. 02-0375 .			3. ADDITIONAL FEES <table border="1"> <thead> <tr> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td><input type="checkbox"/> Surcharge - late filing fee or oath</td><td>\$</td></tr> <tr><td><input type="checkbox"/> Surcharge - late provisional filing fee or cover sheet</td><td>\$</td></tr> <tr><td><input type="checkbox"/> Extension for reply with _____ month</td><td>\$</td></tr> <tr><td><input type="checkbox"/> Notice of Appeal</td><td>\$</td></tr> <tr><td><input type="checkbox"/> Filing Brief in Support of Appeal</td><td>\$</td></tr> <tr><td><input type="checkbox"/> Request for Oral Hearing</td><td>\$</td></tr> <tr><td><input type="checkbox"/> Utility Issue Fee (or reissue)</td><td>\$</td></tr> <tr><td><input type="checkbox"/> Design Issue Fee</td><td>\$</td></tr> <tr><td><input type="checkbox"/> Plant Issue Fee</td><td>\$</td></tr> <tr><td><input type="checkbox"/> Petitions to Commissioner</td><td>\$</td></tr> <tr><td><input type="checkbox"/> Petition to Revive (unavoidable)</td><td>\$</td></tr> <tr><td><input type="checkbox"/> Petition to Revive (unintentional)</td><td>\$</td></tr> <tr><td><input type="checkbox"/> Petitions Related to Provisional Applications</td><td>\$</td></tr> <tr><td><input type="checkbox"/> Submission of Information Disclosure Statement</td><td>\$</td></tr> <tr><td><input type="checkbox"/> Filing Submission After Final Rejection</td><td>\$</td></tr> <tr><td><input type="checkbox"/> Recordation of Assignment Document</td><td>\$</td></tr> <tr><td><input type="checkbox"/> Filing Request for Reexamination</td><td>\$</td></tr> <tr><td><input type="checkbox"/> Other (specify) Advanced Patent Copies</td><td>\$</td></tr> </tbody> </table>			Fee Description	Fee Paid	<input type="checkbox"/> Surcharge - late filing fee or oath	\$	<input type="checkbox"/> Surcharge - late provisional filing fee or cover sheet	\$	<input type="checkbox"/> Extension for reply with _____ month	\$	<input type="checkbox"/> Notice of Appeal	\$	<input type="checkbox"/> Filing Brief in Support of Appeal	\$	<input type="checkbox"/> Request for Oral Hearing	\$	<input type="checkbox"/> Utility Issue Fee (or reissue)	\$	<input type="checkbox"/> Design Issue Fee	\$	<input type="checkbox"/> Plant Issue Fee	\$	<input type="checkbox"/> Petitions to Commissioner	\$	<input type="checkbox"/> Petition to Revive (unavoidable)	\$	<input type="checkbox"/> Petition to Revive (unintentional)	\$	<input type="checkbox"/> Petitions Related to Provisional Applications	\$	<input type="checkbox"/> Submission of Information Disclosure Statement	\$	<input type="checkbox"/> Filing Submission After Final Rejection	\$	<input type="checkbox"/> Recordation of Assignment Document	\$	<input type="checkbox"/> Filing Request for Reexamination	\$	<input type="checkbox"/> Other (specify) Advanced Patent Copies	\$
Fee Description	Fee Paid																																										
<input type="checkbox"/> Surcharge - late filing fee or oath	\$																																										
<input type="checkbox"/> Surcharge - late provisional filing fee or cover sheet	\$																																										
<input type="checkbox"/> Extension for reply with _____ month	\$																																										
<input type="checkbox"/> Notice of Appeal	\$																																										
<input type="checkbox"/> Filing Brief in Support of Appeal	\$																																										
<input type="checkbox"/> Request for Oral Hearing	\$																																										
<input type="checkbox"/> Utility Issue Fee (or reissue)	\$																																										
<input type="checkbox"/> Design Issue Fee	\$																																										
<input type="checkbox"/> Plant Issue Fee	\$																																										
<input type="checkbox"/> Petitions to Commissioner	\$																																										
<input type="checkbox"/> Petition to Revive (unavoidable)	\$																																										
<input type="checkbox"/> Petition to Revive (unintentional)	\$																																										
<input type="checkbox"/> Petitions Related to Provisional Applications	\$																																										
<input type="checkbox"/> Submission of Information Disclosure Statement	\$																																										
<input type="checkbox"/> Filing Submission After Final Rejection	\$																																										
<input type="checkbox"/> Recordation of Assignment Document	\$																																										
<input type="checkbox"/> Filing Request for Reexamination	\$																																										
<input type="checkbox"/> Other (specify) Advanced Patent Copies	\$																																										
2. <input checked="" type="checkbox"/> Check Enclosed. The Commissioner is hereby authorized to charge any variance between the amount enclosed and the Patent Office charges to Deposit Account No. 02-0375 in the name of Baker Botts L.L.P., The Warner, Suite 1300, 1299 Pennsylvania Avenue, N.W., Washington, D.C. 20004-2400.																																											
FEE CALCULATION 1. BASIC FILING FEE <input checked="" type="checkbox"/> Large Entity <input type="checkbox"/> Small Entity <table border="1"> <thead> <tr> <th></th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>Utility Filing Fee</td><td>\$740.00</td></tr> <tr><td>Design Filing Fee</td><td>\$</td></tr> <tr><td>Plant Filing Fee</td><td>\$</td></tr> <tr><td>Reissue Filing Fee</td><td>\$</td></tr> <tr><td>Provisional Filing Fee</td><td>\$</td></tr> </tbody> </table>				Fee Paid	Utility Filing Fee	\$740.00	Design Filing Fee	\$	Plant Filing Fee	\$	Reissue Filing Fee	\$	Provisional Filing Fee	\$																													
	Fee Paid																																										
Utility Filing Fee	\$740.00																																										
Design Filing Fee	\$																																										
Plant Filing Fee	\$																																										
Reissue Filing Fee	\$																																										
Provisional Filing Fee	\$																																										
2. EXTRA CLAIMS FEES																																											
CLAIMS AS AMENDED																																											
For	Number Present	Highest Number Paid For	Extra	Rate		Amount																																					
				Large Entity	Small Entity																																						
TOTAL CLAIMS	36	20	16	x \$ 18.00	x \$ 9.00	\$288.00																																					
INDEPENDENT CLAIMS	3	3		x \$ 84.00	x \$ 42.00	\$0.00																																					
MULTIPLE DEPENDENT CLAIMS				\$ 280.00	\$ 140.00	\$0.00																																					
TOTAL EXTRA CLAIMS FEES						\$288.00																																					
SUBMITTED BY				Complete (if applicable)																																							
Typed or Printed Name		James B. Appin		Registration No.		33,470																																					
Signature		Date		Deposit Account User ID		02-0375																																					